# BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Case No. 2007-244

Amy Batten-Hollman 13301 Corbel Circle #2114 Ft. Myers, FL 33907 And 13301 Corbel Circle # 1321 Ft. Myers, FL 39907

Registered Nurse License No. 455467

Respondent.

#### **DECISION**

The attached Stipulated Surrender of License and Order is hereby adopted by the Board of Registered Nursing, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective on <u>December 19, 2008.</u>

IT IS SO ORDERED December 19, 2008

President

Board of Registered Nursing Department of Consumer Affairs

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State of California

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5103513232 EDMUND G. BROWN JR., Attorney General 1 of the State of California GLORIA A. BARRIOS 2 Supervising Deputy Attorney General MICHEL W. VALENTINE, State Bar No. 153078 3 Deputy Attorney General California Department of Justice 4 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 5 Telephone: (213) 897-1034 Facsimile: (213) 897-2804 6 Attorneys for Complainant 7 8 9 10 11 12 In the Matter of the Accusation Against: 13 AMY H. BATTEN-HOLLMAN 13301 Corbcl Circle, #2114 14 Ft. Myers, Florida 33907 15

BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

Case No. 2007-244 ) OAH No. 2008040914 STIPULATED SURRENDER OF LICENSE AND ORDER Registered Nurse License No. 455467 Respondent.

IT IS HEREBY STIPULATED AND AGREED by and between the parties in this proceeding that the following matters are true:

#### **PARTIES**

- Ruth Ann Terry, M.P.H., R.N. (Complainant) is the Executive Officer of 1. the Board of Registered Nursing. She brought this action solely in her official capacity and is represented in this matter by Edmund G. Brown Jr., Attorney General of the State of California, by Michel W. Valentine, Deputy Attorney General.
- AMY H. BATTEN-HOLLMAN (Respondent) is represented in this 2. proceeding by attorney Edgardo Gonzalez, whose address is 1300 Clay Street, Suite 600, Oakland, California 94612.

3. On or about August 31, 1990, the Board of Registered Nursing ("Board") issued Registered Nursing License No. 455467 to AMY H. BATTEN-HOLLMAN (Respondent). The license will expire on May 31, 2010, unless renewed.

#### <u>JURISDICTION</u>

4. Accusation No. 2007-244 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on October 26, 2007. Respondent timely filed a Notice of Defense contesting the Accusation. A copy of Accusation No. 2007-244 is attached as exhibit A and incorporated herein by reference.

#### ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 2007-244. Respondent also has carefully read, fully discussed with counsel, and understands the effects of this Stipulated Surrender of License and Order.
- 6. Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel, at her own expense; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

#### <u>CULPABILITY</u>

8. Respondent admits the truth of each and every charge and allegation in Accusation No. 2007-244, agrees that cause exists for discipline and hereby surrenders her Registered Nursing License No. 455467 for the Board's formal acceptance.

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Respondent understands that by signing this stipulation she enables the 9. Board's order accepting the surrender of her Registered Nursing License without further process.

#### RESERVATION

The admissions made by Respondent herein are only for the purposes of 10. this proceeding, or any other proceedings in which the Board or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

#### CONTINGENCY

- The parties understand and agree that facsimile copies of this Stipulated 11. Surrender of License and Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- In consideration of the foregoing admissions and stipulations, the parties 12. agree that the Board may, without further notice or formal proceeding, issue and enter the following Order:

#### ORDER

IT IS HEREBY ORDERED that Registered Nursing License No. 455467, issued to Respondent AMY H. BATTEN-HOLLMAN is surrendered and accepted by the Board.

- The surrender of Respondent's Registered Nursing License and the 13. acceptance of the surrendered license by the Board shall constitute the imposition of discipline against Respondent. This stipulation constitutes a record of the discipline and shall become a part of Respondent's license history with the Board.
- Respondent shall lose all rights and privileges as a Registered Nurse in 14. California as of the effective date of the Board's Decision and Order.
- Respondent shall cause to be delivered to the Board both her wall and 15. pocket license certificate on or before the effective date of the Decision and Order.

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- application for licensure or a petition for reinstatement in the State of California, the Board shall treat it as a petition for reinstatement. Respondent must comply with all the laws, regulations and procedures for reinstatement of a revoked license in effect at the time the petition is filed, and all the charges and allegations contained in Accusation No. 2007-244 shall be deemed to be true, correct and admitted by Respondent when the Board determines whether to grant or deny the petition.
- associated with its investigation and enforcement pursuant to Business and Professions Code section 125.3 in the amount of 49,276. Respondent shall be permitted to pay these costs in a payment plan approved by the Board.
- 18. Should Respondent ever apply or reapply for a new license or certification, or petition for reinstatement of a license, by any other health care licensing agency in the State of California, all of the charges and allegations contained in Accusation No. 2007-244 shall be deemed to be true, correct, and admitted by Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or restrict licensure.
- 19. Respondent shall not apply for licensure or petition for reinstatement for two (2) years from the effective date of the Board's Decision and Order.
- 20. Respondent shall pay the Board its costs of investigation and enforcement in the amount state above prior to issuance of a new or reinstated license.

#### <u>ACCEPTANCE</u>

I have carefully read the above Stipulated Surrender of License and Order and have fully discussed it with my attorney, Edgardo Gonzalez, I understand the stipulation and the effect it will have on my Registered Nursing License. I enter into this Stipulated Surrender of License and Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board.

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PAGE ED GONZALEZ 09/16/2008 07:21 5103519292 1 2 DATED: 9-16-08 3 4 Respondent 5 I have read and fully discussed with Respondent AMY H. BATTEN-HOLLMAN 6 the terms and conditions and other matters contained in this Stipulated Surrender of License and 7 8 Order. I approve its form and content. 9 10 DATED: \_ 9-/6-08 11 12 Edgardo Gonzalez Attorney for Rospondent 13 14 ENDORSEMENT 15 The foregoing Stipulated Surrender of Licensure and Order is hereby respectfully 16 17 submitted for consideration by the Board of Registered Nursing. 18 9/16/08 19 20 EDMUND G. BROWN JR., Allomey General of the State of California 21 GLORIA A. BARRIOS 22 Supervising Deputy Attorney General 23 24 25 MICHEL W. VALENTINE 26 Deputy Attorney General 27 Attorneys for Complainant 28

Exhibit A Accusation No. 2007-244

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EDMUND G. BROWN JR., Attorney General of the State of California GLORIA A. BARRIOS Supervising Deputy Attorney General MICHAEL R. GRANEN, State Bar No. 63350 Deputy Attorney General California Department of Justice 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 Telephone: (213) 897-2537 Facsimile: (213) 897-2804  Attorneys for Complainant  BEFORE TO BOARD OF REGISTE	RED NURSING
STATE OF CALIFORNIA	
In the Matter of the Accusation Against:	Case No. 2007-244
AMY H. BATTEN-HOLLMAN	
Ft. Myers, Fl 33907	ACCUSATION
Registered Nurse License No. 455467	
Respondent.	
17 Complainant alleges:	
18 <u>PARTIES</u>	
19 1. Ruth Ann Terry, M.P.H., R.N. (Complainant) brings this Accusation	
solely in her official capacity as the Executive Officer of the Board of Registered Nursing,	
Department of Consumer Affairs.	
22 On or about August 31, 1990, the Board of Registered Nursing issued	
Registered Nurse License No. 455467 to Amy H. Batten-Hollman (Respondent). The Registered	
Nurse License was in full force and effect at all times relevant to the charges brought herein and	
will expire on May 31, 2008, unless renewed.	
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	of the State of California GLORIA A. BARRIOS Supervising Deputy Attorney General MICHAEL R. GRANEN, State Bar No. 63350 Deputy Attorney General California Department of Justice 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 Telephone: (213) 897-2537 Facsimile: (213) 897-2537 Facsimile: (213) 897-2804 Attorneys for Complainant  BEFORE TO BOARD OF REGISTE DEPARTMENT OF CON STATE OF CAL  In the Matter of the Accusation Against:  AMY H. BATTEN-HOLLMAN 13301 Corbel Circle #2114 Ft. Myers, Fl 33907  Registered Nurse License No. 455467  Respondent.  Complainant alleges:  PARTIE  1. Ruth Ann Terry, M.P.H., R.N solely in her official capacity as the Executive Office Department of Consumer Affairs.  2. On or about August 31, 1990, Registered Nurse License No. 455467 to Amy H. Ba Nurse License was in full force and effect at all times will expire on May 31, 2008, unless renewed.  ///

#### **JURISDICTION**

3. This Accusation is brought before the Board of Registered Nursing (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.

#### STATUTORY PROVISIONS

- 4. Section 2750 of the Business and Professions Code (Code) provides, in pertinent part, that the Board may discipline any licensee, including a licensee holding a temporary or an inactive license, for any reason provided in Article 3 (commencing with section 2750) of the Nursing Practice Act.
- 5. Section 2764 of the Code provides, in pertinent part, that the expiration of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary proceeding against the licensee or to render a decision imposing discipline on the license. Under section 2811(b) of the Code, the Board may renew an expired license at any time within eight years after the expiration.
  - 6. Section 2761 of the Code states:

"The board may take disciplinary action against a certified or licensed nurse or deny an application for a certificate or license for any of the following:

"(a) Unprofessional conduct, which includes, but is not limited to, the following:

. . . .

"(d) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violating of, or conspiring to violate any provision or term of this chapter [the Nursing Practice Act] or regulations adopted pursuant to it.

• • •

- "(f) Conviction of a felony or of any offense substantially related to the qualifications, functions, and duties of a registered nurse, in which event the record of the conviction shall be conclusive evidence thereof."
  - 7. Section 2762 of the Code states:

"In addition to other acts constituting unprofessional conduct within the meaning

 of this chapter [the Nursing Practice Act], it is unprofessional conduct for a person licensed under this chapter to do any of the following:

"(a) Obtain or possess in violation of law, or prescribe, or except as directed by a licensed physician and surgeon, dentist, or podiatrist administer to himself or herself, or furnish or administer to another, any controlled substance as defined in Division 10 (commencing with Section 11000) of the Health and Safety Code or any dangerous drug or dangerous device as defined in Section 4022.

"(b) Use any controlled substance as defined in Division 10 (commencing with Section 11000) of the Health and Safety Code, or any dangerous drug or dangerous device as defined in Section 4022, or alcoholic beverages, to an extent or in a manner dangerous or injurious to himself or herself, any other person, or the public or to the extent that such use impairs his or her ability to conduct with safety to the public the practice authorized by his or her license.

"(c) Be convicted of a criminal offense involving the prescription, consumption, or self-administration of any of the substances described in subdivisions (a) and (b) of this section, or the possession of, or falsification of a record pertaining to, the substances described in subdivision (a) of this section, in which event the record of the conviction is conclusive evidence thereof.

. . . . .

"(e) Falsify, or make grossly incorrect, grossly inconsistent, or unintelligible entries in any hospital, patient, or other record pertaining to the substances described in subdivision (a) of this section."

#### 8. Section 490 of the Code states:

"A board may suspend or revoke a license on the ground that the licensee has been convicted of a crime, if the crime is substantially related to the qualifications, functions, or duties of the business or profession for which the license was issued. A conviction within the meaning of this section means a plea or verdict of guilty or a conviction following a plea of nolo contendere. Any action which a board is permitted to take following the establishment of a

conviction may be taken when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal, or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under the provisions of Section 1203.4 of the Penal Code."

9. California Code of Regulations, title 16, section 1444, states:

"A conviction or act shall be considered to be substantially related to the qualifications, functions or duties of a registered nurse if to a substantial degree it evidences the present or potential unfitness of a registered nurse to practice in a manner consistent with the public health, safety, or welfare.

- 10. Section 11170 of the Health and Safety Code provides: "no person shall prescribe, administer, or furnish a controlled substance for himself."
  - 11. Section 11173 of the Health and Safety Code states:
- "(a) No person shall obtain or attempt to obtain controlled substances, or procure or attempt to procure the administration of or prescription for controlled substances, (1) by fraud, deceit, misrepresentation, or subterfuge; or (2) by the concealment of a material fact.
- "(b) No person all make a false statement in any prescription, order, report, or record, required by the division.
- 12. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

#### 13. CONTROLLED SUBSTANCES AND DEFINITIONS

- a. Demerol, a brand of meperidine hydrochloride, a derivative of pethidine, is a Schedule II controlled substance as designated by Health and Safety Code section 11055(c)(17) and is categorized as a dangerous drug pursuant to section 4022 of the Code.
- b. Fentanyl, also known as Sublimaze, is a Schedule II controlled substances as designated by Health and Safety Code section 11055(c)(8) and is categorized as a dangerous drug pursuant to section 4022 of the Code.

c. Stadol, brand name for Butorphanol tartrate, is a Schedule IV controlled substance as designated by Health and Safety Code section 11057(c)(3) and is categorized as a dangerous drug pursuant to section 4022 of the Code.

d. "PYXIS" is a computer medication dispensing station that allows users to obtain medications using a user name and personal identification number(PIN).

#### FACTUAL ALLEGATIONS AND ADMISSIONS BY RESPONDENT

(San Joaquin Community Hospital)

- 14. On or about October through December of 2000, Respondent, by her own admission while on duty as a registered nurse at San Joaquin Community Hospital Bakersfield, California (San Joaquin), stole controlled substances including Demerol, Fentanyl, and Stadol from the supplies of San Joaquin by falsifying controlled substance records and self administered the controlled substances by intramuscular (IM) injection into her arms in the hospital restroom.
- 15. Respondent while on duty as a registered nurse at San Joaquin on two shifts, the first beginning on October 21, 2000 and ending on October 22, 2000 and the second beginning on December 2, 2000 and ending on December 3, 2000, signed out Demerol and Stadol from PYXIS documenting the medication was to be administered to various patients, including but not limited to the following:
- a. On the shift beginning October 21, 2000 and ending on October 22, 2000, Respondent withdrew three Demerol 75mgs tubexes indicating that the medication was to be administered to Patient No. 1. The Intravenous Record (IV Record) indicates a total of 150mgs of Demerol was administered to the patient at 0130 hours on October 22, 2000, leaving a total of 75mgs unaccounted for. The physician's orders were for 50mgs of Demerol.
- b. On the shift beginning December 2, 2000 and ending December 3, 2000, Respondent withdrew two Demerol 75mgs tubexes indicating in the Controlled Substance Sign-out Record that the medication was to be administered to Patient No. 2. The IV Record indicates a total of 75mgs of Demerol was administered to the patient at 0530 hours on December 3, 2000, leaving a total of 75mgs unaccounted for. There are no physician's orders for Demerol.

#### (Mercy Southwest Hospital)

- 16. On or about January 2004 through March 2004, Respondent, by her own admission while on duty as a registered nurse at Mercy Southwest Hospital, Bakersfield, California (Mercy), stole controlled substances including Demerol and Fentanyl from the supplies of Mercy by falsifying controlled substance records and self administered the controlled substances by intramuscular (IM) injection into her arms and hands in the hospital restroom.
- Respondent while on duty as a registered nurse at Mercy from January 19, 17. 2004 through March 20, 2004, stole and self administered over 800mgs of Demerol and 650mgs of Fentanyl from the supplies of Mercy by falsifying controlled substance records including signed out Demerol and Fentanyl from PYXIS documenting the medication was to be administered to various patients. Respondent withdrew Demerol and Fentanyl from PYXIS documenting the medication was to be administered to Patient Nos. 1, 5, 6 and 7. The MAR Records for these patients do not document the administration of any of these medications to these patients. There are no physicians orders for the medications withdrawn from PYXIS by Respondent for these patients. On or about the time and date and for the patient and drug indicated Respondent made the following PYXIS documented withdrawals: 1) Patient No. 1-Demerol 75mgs tubex on 2/13/04 at 2003 hours, on 2/13/04 at 2023 hours, on 2/14/04 at 0136 hours and on 2/14/04 at 1940 hours; Demerol 50mgs tubex on 2/13/04 at 2208 hours and on 2/14/04 at 0627 hours; Fentanyl 250mgs tubex on 2/13/04 at 2345 hours and two Fentanyl 100mgs tubexes on 2/14/04 at 2142 hours; 2) Patient No. 5-Two Demerol 75mgs tubexes on 3/20/04 at 2220 hours; 3) Patient No. 6-Two Demerol 50mgs tubexes on 2/21/04 at 1100 hours and two Fentanyl 100mgs tubexes on 2/21/04 at 1850 hours; 3) Patient No. 7-Demerol 75mgs tubex on 1/19/04 at 1528 hours.

#### (Kern Medical Center)

18. On or around September 2004, Respondent, by her own admission while on duty as a registered nurse at Kern Medical Center, Bakersfield, California (Kern), stole controlled substances including Fentanyl from the supplies of Kern by falsifying controlled

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substance records and self administered the controlled substances by intramuscular (IM) injection into her hands in the hospital restroom.

19. Respondent while on duty as a registered nurse at Kern from August 28, 2004 through September 12, 2004, stole and self administered approximately 2900mgs of Fentanyl from the supplies of Kern by falsifying controlled substance records including signed out Fentanyl from PYXIS documenting the medication was to be administered to various infant patients. Respondent withdrew Fentanyl from PYXIS documenting the medication was to be administered to Patient Nos. 1, 2 and 3. The MAR Records for these patients do not document the administration of any of these medications to these patients. There are no active physicians orders for the medications withdrawn from PYXIS by Respondent for Patients No. 1 and No. 3, and the physicians order for Fentanyl 2-mgs for Patient No. 2 was discontinued on August 31, 2004. Respondent made the following PYXIS documented withdrawals of Fentanyl 100mgs vials:1) Patient No. 1-Eleven vials at various times on 9/11/04 and ten vials at various times on 9/12/04; 2) Patient No. 2-A total of six vials at various times on 8/28, 8/29, 9/11 and 9/12 and; 3) Patient No. 3-Two vials at various times on 9/12/04.

#### FIRST CAUSE FOR DISCIPLINE

(Conviction of a Substantially Related Crime)

- 20. Respondent is subject to disciplinary action under section 4521, subdivision (f) and 490 as defined in California Code of Regulations, title 16, section 2578 in that Respondent was convicted of a substantially related crime. The circumstances are as follows:
- a. On or about January 28, 2005, Respondent was convicted by the court on a plea of nolo contendere of Penal Code Section 488, a misdemeanor, (theft of personal property of another on or about September 12, 2004, to wit: Fentanyl belonging to Kern Medical Center) in the Superior Court of the State of California, County of Kern, Case No. BM664844A, entitled "People v. Amy Batten-Hollman."
  - b. The facts and circumstances are set forth in paragraph 18.

#### SECOND CAUSE FOR DISCIPLINE 1 (Falsified Hospital and Patient Records) 2 Respondent is subject to disciplinary action for unprofessional conduct 21. 3 pursuant to Sections 2761(a) and 2762(e) and Health and Safety Code Section 11173 (b), in that 4 while on duty as a registered nurse, Respondent falsified, or made grossly incorrect or grossly 5 inconsistent or unintelligible entries in hospital, patient, or other records pertaining to controlled 6 substances or dangerous drugs as stated in paragraphs 14-20. 7 THIRD CAUSE FOR DISCIPLINE 8 (Obtained or Possessed Controlled Substances by Deceit) 9 22. Respondent is subject to disciplinary action for unprofessional conduct 10 pursuant to Sections 2761(a) and 2762(a) and Health and Safety Code Section 11173(a), in that 11 Respondent obtained or possessed controlled substances and dangerous drugs without a valid 12 prescription and by fraud or deceit as stated in paragraphs 14-20. 13 FOURTH CAUSE FOR DISCIPLINE 14 (Administered Controlled Substances to Oneself) 15 Respondent is subject to disciplinary action for unprofessional conduct 23. 16 pursuant to Sections 2761(a), 2762(a) and 2762(b) and Health and Safety Code Sections 11170 17 and 11171, in that Respondent self administered controlled substances and dangerous drugs to 18 herself without a valid prescription and used them to an extent or in such manner as to be 19 dangerous to the public, herself and patients, as stated in paragraphs 14-20. 20 FIFTH CAUSE FOR DISCIPLINE 21 (Unprofessional Conduct) 22 Respondent is subject to disciplinary action for unprofessional conduct 24. 23 pursuant to Section 2761(a) for the reasons stated in paragraphs 14-20. 24 25 /// 26 ///

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## WHEREFORE, Complainant requests that a hearing be held on the matters herein 1. Amy H. Batten-Hollman. 2. Professions Code section 125.3;

### alleged, and that following the hearing, the Board of Registered Nursing issue a decision:

Revoking or suspending Registered Nurse License No. 455467, issued to

Ordering Amy H. Batten-Hollman to pay the Board of Registered Nursing the reasonable costs of the investigation and enforcement of this case, pursuant to Business and

PRAYER

3. Taking such other and further action as deemed necessary and proper.

DATED: 3/26/07

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**Executive Officer** Board of Registered Nursing Department of Consumer Affairs State of California Complainant